Sanghamitra Dutta

Website: sites.google.com/site/sanghamitraweb Google Scholar: bit.ly/SanghamitraGoogleScholar

University of Maryland, College Park	Aug 2022 - present
Assistant Professor in Electrical and Computer Engineering	0 1
Affiliate Faculty Member in the Center for Machine Learning	
JP Morgan Chase AI Research, New York	July 2021-July 2022
Research Scientist in Explainable AI Center of Excellence (XAI CoE)	
EDUCATION	
Carnegie Mellon University	Aug 2015 - May 2021
Sumegie Menon Sinversity	A. G. Milnes Thesis Award
Ph.D. in Electrical and Computer Engineering	
Ph.D. in Electrical and Computer Engineering Advisor: Prof. Pulkit Grover	
Ph.D. in Electrical and Computer Engineering Advisor: Prof. Pulkit Grover Thesis: Strategies for Fair, Explainable, and Reliable Machine Learning U	Jsing Information Theory

Indian Institute of Technology, Kharagpur B. Tech. in Electronics and Electrical Communication Engineering *Project Advisor: Prof. Arijit De* July 2011 - Aug 2015 Best Project Award

RESEARCH INTERESTS

The goal of my research is to build the foundations of reliable machine learning, beginning from a fundamental understanding of the challenges in reliability and trust, and carrying them all the way to practice, so that AI can *truly* bring about social good. I am quite excited by the fundamental and transdisciplinary challenges that arise in my research, be it ethical, legal, or computational. I am driven towards addressing these challenges by collaborating with experts across data science, computer systems, policy, and law, bringing in *a novel foundational perspective using tools from information and coding theory, causality, probability theory, and optimization.*

My recent work in algorithmic fairness proposes a systematic quantification of the *legally non-exempt disparity* in machine learning models, bringing together causality and information theory, in particular, an emerging body of work called Partial Information Decomposition. In my prior work, I have examined problems in reliable computing from the lens of coding theory and performance analysis, leading to the emerging area of "coded computing." I have a broad and diverse research experience, and a strong publication track record (with several follow-on works in reputed institutions and 1000+ citations). My work has appeared in machine learning conferences, namely, NeurIPS, ICML, AAAI, AISTATS, BigData, as well as, information-theory-focused venues, namely, ISIT and IEEE Transactions on Information Theory, featured in New Scientist, and has also been adopted as part of the fair lending model review at JPMorgan.

I have had close collaborations/interactions with several prominent researchers, both in academia and industry, who can provide references on my behalf: (1) Prof. Pulkit Grover (Ph.D. advisor; CMU); (2) Prof. Anupam Datta (CMU); (3) Dr. Kush Varshney (Manager & Distinguished Researcher, IBM Research); (4) Prof. Viveck Cadambe (Penn State); (5) Prof. Gauri Joshi (CMU; formerly at IBM Research); (6) Prof. Jose Moura (CMU); (7) Prof. Daniele Magazzeni (Managing Director, JP Morgan).

Dataminr	June 2020 - Aug 2020	
Manager(s): Alejandro Jaimes, Joel Tetreault		
Mentors: Liang Ma, Tanay Saha		
Project: Event Extraction for Natural Language Processing Using Graph Neural Networks		
IBM TJ Watson Research Center Manager: Kush Varshney	May 2019 - Aug 2019	
Mentors: Dennis Wei, Hazar Yueksel, Pin-Yu Chen, Sijia Liu		
Project: An Information-Theoretic Perspective on the Relationship Between Accuracy and Fairness		
IBM TJ Watson Research Center Manager: Priya Nagpurkar Mentors: Prof. Gauri Joshi, Parijat Dube Project: Quantifying Error-Runtime Trade-Offs for Distributed Stochastic Gradient I	May 2017 - Aug 2017 Descent	
University of Alberta, Edmonton Mentors: Prof. Mrinal Mandal, Prof. Karumudi Rambabu Project: Improving Buried Object Imaging Using Ultra Wide Band (UWB) Radar	May 2014 - Jul 2014	
Indian Statistical Institute, Kolkata Mentor: Prof. Sasthi Charan Ghosh Project: Interlinking of Heterogeneous Wireless Networks in Soft Handover	Dec 2013 - Jan 2014	

PUBLICATIONS

INTERNSHIPS

Selected Conference Papers

- [C17] F. Hamman, E. Noorani, S. Mishra, D. Magazzeni, and S. Dutta. "Robust Counterfactual Explanations for Neural Networks with Probabilistic Guarantees". In: *International Conference on Machine Learning (ICML)*. July 2023.
- [C16] F. Hamman, J. Chen, and S. Dutta. "Can Querying for Bias Leak Protected Attributes? Achieving Privacy With Smooth Sensitivity". In: ACM Conference on Fairness, Accountability, and Transparency (ACM FAccT). June 2023.
- [C15] S. Sharma, S. Dutta, E. Albini, F. Lecue, D. Magazzeni, and M. Veloso. "REFRESH: Responsible and Efficient Feature Reselection guided by SHAP values". In: AAAI/ACM Conference on AI, Ethics, and Society (AIES). Aug. 2023.
- [C14] S. Garg, S. Dutta, M. Dalirrooyfard, A. Schneider, and Y. Nevmyvaka. "In- or Out-of-Distribution Detection via Dual Divergence Estimation". In: *Conference on Uncertainty in Artificial Intelligence* (UAI). Aug. 2023.
- [C13] P. Mathur, A. T. Neerkaje, M. Chhibber, R. Sawhney, F. Guo, F. Dernoncourt, S. Dutta, and D. Manocha. "MONOPOLY: Financial Prediction from MONetary POLicY Conference Videos Using Multimodal Cues". In: ACM Multimedia (ACM-MM). Oct. 2022.
- [C12] S. Dutta, J. Long, S. Mishra, C. Tilli, and D. Magazzeni. "Robust Counterfactual Explanations for Tree-Based Ensembles". In: *International Conference on Machine Learning (ICML)*. July 2022, pp. 5742–5756.
- [C11] P. Venkatesh, S. Dutta, N. Mehta, and P. Grover. "Can Information Flows Suggest Targets for Interventions in Neural Circuits?" In: *Advances in Neural Information Processing Systems (NeurIPS)*. Vol. 34. Dec. 2021, pp. 3149–3162.

- [C10] S. Dutta, D. Wei, H. Yueksel, P. Y. Chen, S. Liu, and K. Varshney. "Is There a Trade-Off Between Fairness and Accuracy? A Perspective Using Mismatched Hypothesis Testing". In: *International Conference on Machine Learning (ICML). Featured in New Scientist.* July 2020, pp. 2803–2813.
- [C9] P. Venkatesh, **S. Dutta**, and P. Grover. "How else can we define Information Flow in Neural Circuits?" In: *IEEE International Symposium on Information Theory* (**ISIT**). June 2020, pp. 2879–2884.
- [C8] S. Dutta, P. Venkatesh, P. Mardziel, A. Datta, and P. Grover. "An Information-Theoretic Quantification of Discrimination with Exempt Features". In: AAAI Conference on Artificial Intelligence (AAAI, Oral Presentation). Notable paper: This work proposes a novel axiomatic quantification of the legally non-exempt disparity using information theory and causality. Feb. 2020, pp. 3825–3833.
- [C7] P. Venkatesh, S. Dutta, and P. Grover. "How should we define Information Flow in Neural Circuits".In: *IEEE International Symposium on Information Theory (ISIT)*. July 2019, pp. 176–180.
- [C6] U. Sheth, S. Dutta, M. Chaudhari, H. Jeong, Y. Yang, J. Kohonen, T. Roos, and P. Grover. "An Application of Storage-Optimal MatDot Codes for Coded Matrix Multiplication: Fast K-Nearest Neighbors Estimation". In: *IEEE International Conference on Big Data* (*IEEE BigData*). Dec. 2018, pp. 1113–1120.
- [C5] S. Dutta*, Z. Bai*, H. Jeong, T. M. Low, and P. Grover. "A Unified Coded Deep Neural Network Training Strategy based on Generalized PolyDot codes". In: *IEEE International Symposium on Information Theory (ISIT)*. June 2018, pp. 1585–1589.
- [C4] S. Dutta, G. Joshi, P. Dube, S. Ghosh, and P. Nagpurkar. "Slow and Stale Gradients Can Win the Race: Error-Runtime Trade-offs in Distributed SGD". In: *International Conference on Artificial Intelligence and Statistics (AISTATS)*. Apr. 2018, pp. 803–812.
- [C3] S. Dutta, V. Cadambe, and P. Grover. "Coded convolution for parallel and distributed computing within a deadline". In: *IEEE International Symposium on Information Theory (ISIT)*. June 2017, pp. 2403–2407.
- [C2] S. Dutta, V. Cadambe, and P. Grover. "Short-Dot": Computing Large Linear Transforms Distributedly Using Coded Short Dot Products". In: *Advances on Neural Information Processing Systems* (*NeurIPS*). (Notable paper in the emerging field of coded computing.) Dec. 2016, pp. 2100–2108.
- [C1] S. Dutta and P. Grover. "Adaptivity provably helps: information-theoretic limits on l_0 cost of nonadaptive sensing". In: *IEEE International Symposium on Information Theory (ISIT)*. July 2016, pp. 1431–1435.

Selected Journal Papers

- [J8] **S. Dutta** and F. Hamman. "A Review of Partial Information Decomposition in Algorithmic Fairness and Explainability". In: *Entropy* 25.5 (2023).
- [J7] A. K. Veldanda, I. Brugere, J. Chen, S. Dutta, A. Mishler, and S. Garg. "Fairness via In-Processing in the Over-parameterized Regime: A Cautionary Tale". In: *Transactions on Machine Learning* (*TMLR*) (2023).
- [J6] **S. Dutta**, P. Venkatesh, P. Mardziel, A. Datta, and P. Grover. "Fairness under Feature Exemptions: Counterfactual and Observational Measures". In: *IEEE Transactions on Information Theory* (2021).
- [J5] **S. Dutta**, J. Wang, and G. Joshi. "Slow and stale gradients can win the race". In: *IEEE Journal on Selected Areas in Information Theory* (2021).
- [J4] S. Dutta*, H. Jeong*, Y. Yang*, V. Cadambe, T. M. Low, and P. Grover. "Addressing Unreliability in Emerging Devices and Non-von Neumann Architectures Using Coded Computing". In: *Proceedings of the IEEE* 108.8 (Aug. 2020).
- [J3] P. Venkatesh, **S. Dutta**, and P. Grover. "Information flow in computational systems". In: *IEEE Transactions on Information Theory* 66.9 (Sept. 2020).

- [J2] S. Dutta*, M. Fahim*, F. Haddadpour*, H. Jeong*, V. Cadambe, and P. Grover. "On the Optimal Recovery Threshold of Coded Matrix Multiplication". In: *IEEE Transactions on Information Theory* 66.1 (Jan. 2020).
- [J1] S. Dutta, V. Cadambe, and P. Grover. ""Short-Dot": Computing Large Linear Transforms Distributedly Using Coded Short Dot Products". In: *IEEE Transactions on Information Theory* 65.10 (Oct. 2019).

Other Papers (Peer-Reviewed Workshops/Conferences/Journals/Preprints)

- [W10] A. K. Veldanda, I. Brugere, **S. Dutta**, A. Mishler, and S. Garg. "Hyper-parameter Tuning for Fair Classification without Sensitive Attribute Access". In: *arXiv preprint arXiv:2302.01385* (2023).
- [W9] F. Hamman, J. Chen, and **S. Dutta**. "Can Querying for Bias Leak Protected Attributes? Achieving Privacy With Smooth Sensitivity". In: *NeurIPS Workshop on Algorithmic Fairness through the Lens of Causality and Privacy* (2022).
- [W8] S. Mishra, **S. Dutta**, J. Long, and D. Magazzeni. "A Survey on the Robustness of Feature Importance and Counterfactual Explanations". In: *Explainability in Finance Workshop at ICAIF* (2021).
- [W7] **S. Dutta**, P. Venkatesh, and P. Grover. "Quantifying Feature Contributions to Overall Disparity Using Information Theory". In: *AAAI Workshop on Information-Theoretic Methods for Causal Inference and Discovery* (2021).
- [W6] C. Jiang^{*}, B. Wu^{*}, **S. Dutta**, and P. Grover. "An Information-Theoretic Measure for Enabling Category Exemptions with an Application to Filter Bubbles". In: *BIAS Workshop at ECIR* (2021).
- [W5] **S. Dutta**, L. Ma, T. Saha, A. Jaimes, and J. Tetreault. "GTN-ED: Event Detection Using Graph Transformer Networks". In: *TextGraphs Workshop at NAACL* (2021).
- [W4] S. Dutta, Z. Bai, T. M. Low, and P. Grover. "CodeNet: Training Large Scale Neural Networks in Presence of Soft-Errors". In: Coding Theory For Large-scale Machine Learning Workshop at ICML (CodML Workshop, ICML, Spotlight Presentation). June 2019.
- [W3] M. Fahim, H. Jeong, F. Haddadpour, **S. Dutta**, V. Cadambe, and P Grover. "On the optimal recovery threshold of coded matrix multiplication". In: *Annual Allerton Conference on Communication, Control, and Computing (Allerton)*. Oct. 2017.
- [W2] S. Dutta*, Y. Yang*, N. Wang, E. Pop, V. Cadambe, and P. Grover. "Reliable Matrix Multiplication using Error-prone Dot-product Nanofunctions with an application to logistic regression". In: Semiconductor Research Corporation TECHCON. Sept. 2016.
- [W1] **S. Dutta** and A. De. "Sparse UltraWideBand Radar Imaging in a Locally Adapting Matching Pursuit (LAMP) Framework". In: *IEEE International Radar Conference*. May 2015.

[* denotes equal contribution]

News/Discussion on My Research

 \cdot Research on algorithmic fairness adopted as part of the fair lending model review at JP Morgan and is being patented.

• Participant in the Causality Program at the Simon's Institute in Spring 2022.

• Research on accuracy-fairness trade-offs and creation of ideal datasets featured in an article titled "Teaching an AI to be less biased doesn't have to make it less accurate" in New Scientist.

• Research on fairness under feature exemptions featured in an article titled "Managing necessary bias in AI" in CMU News on Engineering Research.

• Research on coded computing for deep neural network training featured in an article titled, "Strength training deep neural networks," in CMU News on Engineering Research.

· Research on adaptive scheduling for distributed SGD patented at IBM Research.

• Research on coded computing (including Short-Dot codes and MatDot codes) address problems that have been open for several decades, and thus have received substantial attention from across communities. They have also motivated practical implementations (both within and outside our research group). Of particular mention is CREDENCE which is a software for coded computing that we are developing.

Patents/Invention Disclosures

- [P4] S. Mishra, F. Lecue, C. Tilli, D. Magazzeni, **S. Dutta**, and J. Long. *Method And System For Computing Unstability Factors In Predictive Model*. Invention Disclosure. 2023.
- [P3] Ivan Brugere et al. *Method And System For Improving Model Fairness By Using Explainability Techniques.* Invention Disclosure. 2022.
- [P2] P. Grover, H. Jeong, Y. Yang, S. Dutta, Z. Bai, T. M. Low, M. Fahim, F. Haddadpour, and V. Cadambe. Coded computation strategies for distributed matrix-matrix and matrix-vector products. US App. 16/588,990. 2020.
- [P1] P. Dube, **S. Dutta**, G. Joshi, and P. Nagpurkar. *Adaptive learning rate schedule in distributed stochastic gradient descent*. US App. 15/938,830. 2019.

AWARDS, FELLOWSHIPS, HONORS (in reverse chronological order)

Post-Graduate	
Simon's Institute Fellowship for Program in Causality.	2021
A.G. Milnes Thesis Award for Best Doctoral Dissertation in CMU ECE.	2021
Graduate	
CMU Cylab 2020 Presidential Fellowship.	2020
Oral Paper Presentation at AAAI.	2020
K&L Gates 2019 Presidential Fellowship in Ethics and Computational Technologies.	2019
Axel Berny 2019 Presidential Graduate Fellowship.	2019
Selected to Participate in Women in Data Science and Mathematics Workshop (WiSDM).	2019
Tan Endowed Graduate Fellowship.	2017
Prabhu and Poonam Goel Graduate Fellowship.	2016
Carnegie Institute of Technology Dean's Fellowship.	2015
Undergraduate	
Nilanjan Ganguly Memorial Award for Best Undergraduate Project .	2015
HONDA Young Engineer and Scientist Award for academic excellence.	2014
MITACS Globalink Research Award to pursue summer internship in Canada.	2014
DAAD Wise Scholarship.	2014
Secured 99.87 percentile in IITJEE, State Rank 1 in AIEEE, Rank 6 in WBJEE.	2011
Qualified the Entrance Exam for Indian Statistical Institute (ISI).	2011
KVPY Fellowship for academic excellence from the Government of India.	2011
Qualified the Regional Mathematical Olympiad (RMO).	2010

CONFERENCE AND INVITED TALKS

Reliable Machine Learning for High-Stakes Applications	2022
· Simons Institute Workshop (Upcoming).	2023
· CISS Conference, John Hopkins.	2023
· Penn State.	2023
· Simons Institute.	2023
· Laboratory for Telecommunication Science (UMD).	2022
· SPS Seminar, IEEE Washington Chapter.	2022
· CCSP Seminar, UMD.	2022
· INFORMS Annual Meeting.	2022
Robust Counterfactual Explanations for Tree-Based Ensembles	
· International Conference on Machine Learning (ICML).	2022
Strategies for Fair, Explainable, and Reliable Machine Learning Using Information Theory	
· Bocconi University.	2022
· Simons Institute.	2022
· University of Maryland.	2021
· University of Michigan.	2021
· University of Southern California.	2021
· Microsoft Rising Stars.	2021
· IP Morgan Chase AI Research.	2021
· Scripps College.	2021
Exempt and Non-Exempt Biases in Recommendation Systems & Decision Making	
• New York University.	2021
· Harvard University.	2021
· Fair February, Brown University.	2021
· Data Science Seminar, University of Utah.	2021
· Microsoft Research.	2021
· Google Research.	2020
An Information-Theoretic Quantification of Discrimination With Exempt Features	
· AAAI Conference on Artificial Intelligence.	2020
· Experian DataLabs.	2020
· CMU AI for Social Good Symposium.	2020
. Women in Data Science Mini-Symposium (SIAM Conference on Mathematics of Data Science).	2020
Is There a Trade-Off Between Fairness and Accuracy?	
· International Conference on Machine Learning (ICML).	2020
· IBM TJ Watson Research Center.	2019
CodeNet: Training Large-Scale Neural Networks in Presence of Soft-Errors	
· ICML Coding Theory for Large-scale Machine Learning Workshop.	2019
Reliable Machine Learning Using Unreliable Components	
\cdot Modeling and Optimization: Theory and Applications (MOPTA), Lehigh University.	2018
· University of Helsinki, Finland.	2018
· IBM TJ Watson Research Center.	2017
Coded DNN Using Generalized PolyDot codes	
· IEEE Symposium on Information Theory (ISIT).	2018
Coded Convolutions	
· IEEE Symposium on Information Theory (ISIT).	2017
Adaptivity Provably Helps: Information-Theoretic Limits on The L0 Cost of Non-Adaptive Sensi	ng
· IEEE Symposium on Information Theory (ISIT).	2016

Sparse UltraWideBand Radar Imaging in a Locally Adapting Matching Pursuit (LAMP) Framework

2015

· IEEE International Radar Conference.

TEACHING AND EDUCATIONAL CONTRIBUTIONS • Estimation and Detection Theory (ENEE621) - Graduate Level Course Spring 2023 Instructor for this course at University of Maryland, College Park • Foundations of Machine Learning (ENEE436) - Undergraduate Level Course Fall 2022 Instructor for this course at University of Maryland, College Park Educational contributions at CMU prior to starting a faculty position at UMD: • New-Age Information Theory (18753) - Graduate Level Course Spring 2020 I contributed to the design and preparation of new course content, taught some lectures, and also prepared new assignments for the course. This course was a redesign of the previously offered course on information theory. Our redesign emphasized on both classical information and coding theory, as well as, emerging bodies of work within information theory, such as Partial Information Decomposition, and novel applications of information theory to machine learning, fairness, neuroscience, etc., alongside communication. • Information Theory (18753) - Graduate Level Course Spring 2018 Teaching Assistant • Signals and Systems (18290) - Undergraduate Level Course Fall 2017 Teaching Assistant • Foundations of Cloud and ML Infrastructure (18847F) - Graduate Level Course Fall 2017, 2018, 2019 Guest Lecturer • Foundations of Privacy (18734) - Graduate Level Course Fall 2020 Assisted in the design of course projects based on the CMU ECE graduate admissions dataset that I have obtained for my research after an Institutional Review Board (IRB) approval. **MENTORSHIP Graduate Students** • Pasan Dissanayake (Ph.D. student, University of Maryland College Park) • Faisal Adamu Hamman (Ph.D. student, University of Maryland College Park)

Masters Students

- Pranjal Atrey (University of Maryland)
- Capstone Project (Collaboration between JP Morgan and Columbia University) Student Collaborators: Xin Ye, Xiong Yue, Yuzhao Pan, Liyi Zhang, Panyu Gao, "Explainability-Informed Fairness," Spring 2022.
- Capstone Project (Collaboration between JP Morgan and Columbia University) Student Collaborators: Junzhi Ge, Mohammed Aqid Khatkhatay, Oscar Jasklowski, Xue Gu, Yue Wang, "Causality-Informed Fairness," Fall 2021.
- Utsav Sheth (INI, CMU), "Credence: Algorithm Based Fault Tolerance at Datacenter Scale," Fall 2017 Fall 2018.
- Malhar Chaudhuri (ECE, CMU), "Initial Design of Credence for Coded Computing," Fall 2016 Fall

2017.

Undergraduate Students

- Chenyu Jiang (Visiting Undergraduate Student, CUHK), "Breaking Filter-Bubbles using tools from Fairness with Feature Exemptions," Summer 2019.
- Bowen Wu (Visiting Undergraduate Student, CUHK), "Breaking Filter-Bubbles using tools from Fairness with Feature Exemptions," (Joint Work with Chenyu Jiang) Summer 2019.
- Ziqian Bai (Visiting Undergraduate Student, CUHK), "Coded Computing for Neural Networks," Summer 2017.

PROFESSIONAL ACTIVITIES

Women In Information Theory (WITHITS) Co-Chair

Workshops and Panels

- Co-organizer: Multimodal AI for Financial Forecasting (Muffin) Workshop in AAAI'23 (Upcoming)
- Program Committee: Workshop on Explainability in Finance at ICAIF'2021.
- Panelist: AutoML Conference AutoML and Fairness Session

Membership in Professional Societies/Committees

- Member of the Organizing Committee of Energy & Information Systems (EIS) Seminar, ECE, CMU.
- Student Member of Diversity Committee, ECE, CMU.

Reviewing Responsibilities

- Journals: IEEE Transactions on Information Theory, IEEE Transactions on Communications, IEEE Transactions on Signal and Information Processing over Networks (Publons Profile).
- Conferences: IEEE Symposium on Information Theory (ISIT), Advances on Neural Information Processing Systems (NeurIPS), International Conference on Machine Learning (ICML), AAAI Conference on Artificial Intelligence (AAAI), International Conference on Artificial Intelligence and Statistics (AIS-TATS), ACM Conference on Fairness, Accountability, and Transparency (FAccT).

POSITIONS OF RESPONSIBILITY

President, Indian Graduate Student Association (IGSA), CMU.	2018
Vice President, Indian Graduate Student Association (IGSA), CMU.	2017
General Secretary, Indian Graduate Student Association (IGSA), CMU.	2016
ECE Representative, Graduate Student Assembly (GSA), CMU.	2016-2019

EXTRA-CURRICULAR ACTIVITIES

Dramatics: Member of Druheen (formerly called Bengali Technology Drama Society). **Fine Arts:** Qualification from Pracheen Kala Kendra, one of the oldest cultural organizations of India. **Community Service:** Volunteer of National Service Scheme (NSS), India. **Mentorship:** Associated with the Student Mentorship Program of Student Welfare Group (SWG) at IIT Kharagpur.